

Rollover form – Part 1

Check request

Name _____
first middle initial last

Social Security number _____ Email _____

Plan ID 195980

Request a check and have it sent to Ascensus Trust Company.

- Contact your retirement plan/account service provider to request a withdrawal of your account assets in the form of a check.
- The check should be payable to Ascensus Trust Company and include the Plan ID (provided above).
- Mail the check to:

Ascensus Trust Company
1655 43rd Street South
Suite 100
Fargo, ND 58103

- Your prior retirement plan/account service provider can send the check directly to Ascensus Trust Company. If instead the check is being returned to you, you must complete Part 1 of the rollover form (i.e., this page) and send it along with your check to Ascensus Trust Company. If you are sending more than one rollover check, use a separate form for each check.

My check details

Dollar amount on check: \$ _____

Date sent to Ascensus Trust Company (mm/dd/year): _____

Submitted by _____ Date _____
First middle initial last

Important:

- If the check is payable to you, you have 60 days to roll over the funds (i.e. have the check deposited into your retirement plan) or the entire amount becomes taxable.
- If you have any questions, please contact Participant Services at 866-809-8146.

Rollover form – Part 2

Savings and investment elections

Name _____
first middle initial last

Social Security number _____ Email _____

Plan ID 195980

Employer use only: Upon receipt of this form, verify that the plan document permits rollover contributions and that the rollover contribution qualifies for rollover treatment and that all information provided by the participant is accurate and complete.

Complete and return Part 2 of the Rollover form to your employer.

Important:

- This form may only be used to move (i.e., roll over) retirement plan assets from a retirement plan, traditional IRA, or SIMPLE IRA into your employer's plan.
- This form may not be used to request a rollover from this plan to another retirement plan.
- If more than one rollover contribution is being sent, use a separate form for each rollover contribution.
- If this rollover contribution is being made during or after the first year for which you must take a required minimum distribution, you cannot roll over any amount which constitutes a required minimum distribution. Please check with your employer for more information about this rule.

My savings

1. The amount of my rollover contribution attributable to pre-tax contributions is \$_____ (amount on check)
2. The amount of my rollover contribution attributable to Roth contributions is \$_____
 - a. The amount of the Roth contribution that is attributable to basis is \$_____
 - b. The first year in which I made a Roth contribution to the plan from which my rollover is being made was _____ (specify)
3. The amount of my rollover contribution attributable to after-tax (non-Roth) contributions is \$_____
 - a. The amount of the after-tax contribution attributable to basis is \$_____
4. The rollover contribution is from the following type of plan:

| | |
|--|---|
| <input type="checkbox"/> QP/401(k)/Roth401(k) plan | <input type="checkbox"/> 403(a) plan |
| <input type="checkbox"/> 403(b) plan | <input type="checkbox"/> Governmental 457(b) plan |
| <input type="checkbox"/> Traditional IRA | <input type="checkbox"/> SIMPLE IRA |
| <input type="checkbox"/> Current plan | |

Note: If necessary, the retirement plan/account service provider can provide the information requested above.

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Rollover form – Part 2

Savings and investment elections

Name

first

middle initial

last

Social Security number Email

Plan ID

195980

My investments

You have choices for investing your rollover assets. You can select a target risk allocation model portfolio OR create a custom mix. Helpful information on choosing investments is available in the **What ways can you invest?** section of this guide and on your plan's retirement website.

Important: If you don't make any investment elections but complete the rest of this form, you will be invested in the most appropriate target date allocation investment based upon your age as determined by your plan's fiduciaries. More information on this investment is available in the **What ways can you invest?** section of this guide and on your plan's retirement website.

Invest my rollover contribution according to my current investment elections.

☐ Check this box to invest your entire rollover contribution in the same funds and percentages you previously selected for your retirement plan contributions.

Make new investment elections for my rollover contribution.

☐ Check this box if you choose to invest your rollover contribution in different funds and/or percentages than you previously selected. **You can select a target risk allocation model portfolio OR create a custom mix.**

Option 1: Select only one; your contribution will be automatically set to 100%, and you cannot select a custom mix below. More information on these models is available in the **What ways can you invest?** section of this guide and on your plan's retirement website.

Choose a target risk allocation model portfolio, as created and maintained by your plan's fiduciaries.

- ☐ CONSERVATIVE GROWTH PORTFOLIO
- ☐ MODERATE GROWTH PORTFOLIO
- ☐ AGGRESSIVE GROWTH PORTFOLIO
- ☐ MODERATELY CONSERVATIVE GROWTH PORTFOLIO
- ☐ MODERATELY-AGGRESSIVE GROWTH PORTFOLIO

Or

Option 2: Create a custom mix

Choose one or more investments as long as the total amounts to 100%. Your elections must be made in increments of 1%. Information on each Investment's performance is available on your plan's retirement website and in the **Investment options** section of this guide.

| Investment name | Investment % | Investment name | Investment % |
|--|---------------|--|---------------|
| Target date allocation | | Target date allocation (Cont) | |
| T. Rowe Price Retirement I 2005 Fund I Class | <div></div> % | T. Rowe Price Retirement I 2035 Fund I Class | <div></div> % |
| T. Rowe Price Retirement I 2010 Fund I Class | <div></div> % | T. Rowe Price Retirement I 2040 Fund I Class | <div></div> % |
| T. Rowe Price Retirement I 2015 Fund I Class | <div></div> % | T. Rowe Price Retirement I 2045 Fund I Class | <div></div> % |
| T. Rowe Price Retirement I 2020 Fund I Class | <div></div> % | T. Rowe Price Retirement I 2050 Fund I Class | <div></div> % |
| T. Rowe Price Retirement I 2025 Fund I Class | <div></div> % | T. Rowe Price Retirement I 2055 Fund I Class | <div></div> % |
| T. Rowe Price Retirement I 2030 Fund I Class | <div></div> % | T. Rowe Price Retirement I 2060 Fund I Class | <div></div> % |

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| Investment name | Investment % |
|--|--------------|
| Target risk allocation | |
| American Funds American Balanced Fund® Class R-6 | _____ % |
| Equity | |
| American Funds EuroPacific Growth Fund® Class R-6 | _____ % |
| American Funds The Growth Fund of America® Class R-6 | _____ % |
| DFA Emerging Markets Portfolio Institutional Class | _____ % |
| DFA Emerging Markets Small Cap Portfolio Institutional Class | _____ % |
| DFA U.S. Targeted Value Portfolio Institutional Class | _____ % |
| Fidelity® 500 Index Fund | _____ % |
| MFS International New Discovery Fund Class R6 | _____ % |
| Vanguard® Equity-Income Fund Admiral™ Shares | _____ % |
| Vanguard® Explorer™ Fund Admiral™ Shares | _____ % |
| Vanguard® FTSE All-World ex-US Index Fund Institutional Shares | _____ % |

| Investment name | Investment % |
|---|--------------|
| Equity (Cont) | |
| Vanguard® Mid-Cap Growth Index Fund Admiral™ Shares | _____ % |
| Vanguard® S&P Mid-Cap 400 Index Fund Institutional Shares | _____ % |
| Vanguard® S&P Mid-Cap 400 Value Index Fund Institutional Shares | _____ % |
| Vanguard® S&P Small-Cap 600 Index Fund Institutional Shares | _____ % |
| Bond | |
| American Century Inflation-Adjusted Bond Fund R6 Class | _____ % |
| Fidelity Advisor® Strategic Income Fund Class Z | _____ % |
| Fidelity Advisor® Total Bond Fund Class Z | _____ % |
| Vanguard® GNMA Fund Admiral™ Shares | _____ % |
| Other | |
| American Funds Capital Income Builder® Class R-6 | _____ % |
| MetLife Stable Value Fund GAC -32792 | _____ % |
| T. Rowe Price Retirement I 2065 Fund I Class | _____ % |

My signature

Signature _____ Date _____

Your signature serves as acknowledgment that you have provided accurate information and authorize the rollover of retirement account assets in accordance with this form as soon as administratively possible.

Employer use only

Signature of plan administrator _____ Date _____

Note: To process this request in the most efficient manner, please use your employer website.